

# Lecture 2

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Today.

- Basic theory of divisors on a curve
  - Weil vs Cartier
  - pullback of divisors
- AJ theorem
  - Picard group
  - Abel-Jacobi theorem for elliptic curves.

# §1. Basic theory of divisors.

Divisor = "codim $_{\mathbb{C}} = 1$  subspace of  $X$ "

## (1.1) Weil divisor

$X$ : connected, nonsingular, complete curve /  $\mathbb{C}$   
 $\Rightarrow x \in X$  closed point  $\mathcal{O}_{X,x}$  is DVR.

Def (Weil divisor)

- $\text{Div}(X) = \left\{ \sum n_i p_i \mid p_i \in \mathbb{C} \text{ closed pt, } n_i \in \mathbb{Z} \right\}$
- $\text{deg} : \text{Div}(X) \rightarrow \mathbb{Z} . \quad \sum n_i p_i \mapsto \sum n_i$

$\hookrightarrow$  Huge

Let  $K(X)$  = function field of  $X$ . Then there is a canonical isomorphism

$$K(X) \cong \text{Frac}(\mathcal{O}_{X,x}).$$

Let  $\nu_p : K(X) \rightarrow \mathbb{Z}$  be the valuation.  $\leftarrow$

$$(f) := \sum_{p \in X} \nu_p(f) \cdot p \quad \begin{array}{l} \text{"leading order of} \\ \text{f near p"} \end{array}$$

Def If  $D = (f)$ , it is called a principal divisor

Def  $A_0(X) := \text{Div}(X) / \sim$   $D \sim D'$  if  
 $D - D' = (f)$ .  
↳ This is still huge

## (1.2) Cartier divisor.

↳ local defining equation.

Let  $K_X^*$  = sheaf of rational functions on  $X$ .  
(so it is the constant sheaf of  $K(X)$ )  
We have an exact seq.

$$0 \rightarrow \mathcal{O}_X^* \rightarrow K_X^* \rightarrow K_X^* / \mathcal{O}_X^* \rightarrow 0$$

$$0 \rightarrow H^0(\mathcal{O}_X^*) \rightarrow H^0(K_X^*) \rightarrow H^0(K_X^* / \mathcal{O}_X^*) \xrightarrow{\partial} H^1(\mathcal{O}_X^*) \rightarrow 0 \quad (*)$$

$\begin{array}{ccccccc} \parallel & & \parallel & & \parallel & & \parallel \\ \mathbb{C}^* & & K(X) & & \text{Pic}(X) & & \end{array}$

Def A Cartier divisor is an element of  
 $D = H^0(X, K_X^* / \mathcal{O}_X^*)$ .

$D = \{(U_i, f_i)\}$ , where  $\{U_i\}$  : open cover of  $X$ .  
 $f_i \in K^*(U_i)$  s.t.  $f_i / f_j \in \mathcal{O}^*(U_i \cap U_j)$ .

Def  $D$  is a principal divisor if it is an image of  
 $H^0(K_X^*) \rightarrow H^0(K_X^* / \mathcal{O}_X^*)$ .

From the sequence (\*)

$$\text{Pic}(X) \cong H^0(K_X^* / \mathcal{O}_X^*) / H^0(K_X^*)$$

In practice, for  $D = \{(U_i, f_i)\} \in H^0(K_X^* / \mathcal{O}_X^*)$ .

$$\mathcal{O}(D)|_{U_i} := f_i^{-1} \mathcal{O}_X|_{U_i} \subset K^*(U_i)$$

### (1.3) Comparison.

We have a map

$$H^0(K_X^*/\mathcal{O}_X^*) \longrightarrow \text{Div}(X)$$

sending

$$D = \{ (U_i, f_i) \} \longmapsto \sum v_p(f_i) p.$$

This is well-defined because if  $p \in U_i \cap U_j$ .

$$f_i/f_j \in \mathcal{O}^*(U_i \cap U_j) \Rightarrow v_p(f_i) = v_p(f_j).$$

Moreover it sends (principal divisor)  $\rightarrow$  (principal divisor).  
hence

$$\text{Pic}(X) \longrightarrow A_0(X)$$

Prop The comparison map is an isomorphism.

Pf) [Hartshorne, II.6.11]  $\square$

How this works?

Let  $p \in X$ . We associate a line bundle  $\mathcal{O}(p)$  :  
 for  $U \subseteq X$  open,

$$\mathcal{O}(p)(U) = \left\{ f \in K^*(U) \mid v_x(f) + \delta_p(x) \geq 0, \forall x \in U \right\} \cup \{0\}$$

$$\text{here } \delta_p(x) = \begin{cases} 1 & \text{if } p=x \\ 0 & \text{otherwise} \end{cases}$$

$\Rightarrow \mathcal{O}(p)$  is an invertible sheaf. ( $\mathcal{O}(p)^\vee \cong \mathcal{O}(-p)$ ).

If  $D = \sum_{i=1}^m n_i p_i \in \text{Div}(X)$ , we take

$$\mathcal{O}(D) := \bigotimes_{i=1}^m \mathcal{O}(p_i)^{\otimes n_i}.$$

Let's say little more about  $\mathcal{O}(p)$  :

It has a canonical section  $s_p \in H^0(\mathcal{O}_X(p))$

s.t.  $(s_p) = p$ .

$\tau \cdot s_p \in H^0(\mathcal{O}_X(p)) \iff s_p : \mathcal{O}_X \rightarrow \mathcal{O}_X(p)$

Any  $f \in \mathcal{O}_X(U)$  satisfies  $v_x(f) + \delta_p(x) \geq 0$   $\perp$

## ASIDE : Degree of a line bundle

We saw two ways to compute  $\deg L$ .

① Choose a nontrivial meromorphic section  $s$  of  $L$ . Then

$$\deg L = (s) \in \mathbb{Z}$$

② Use the exponential sequence :

$$H^1(X, \mathcal{O}_X^*) \xrightarrow{c_1} H^2(X, \mathbb{Z})$$

$$\deg L := c_1(L) \cap [X] \in H_0(X, \mathbb{Z}) \xrightarrow{1 \cap \int} \mathbb{Z}$$

CLAIM ① = ②

One way to prove this statement is to use

$$c_1(L) = e(L_{\mathbb{R}}) \in H^2(X, \mathbb{Z})$$

$L_{\mathbb{R}}$  : real oriented  $\text{rank}_{\mathbb{R}} = 2$  vector bundle

$e(L_{\mathbb{R}})$  : Euler class of  $L_{\mathbb{R}}$

The proof almost immediately follows if you know the definition of the Euler class (& Thom isom).

HW Justify CLAIM by yourself.

↳ See [Bott - Tu]



## (1.4) Pullback of divisors.

$f: X \rightarrow Y$  nontrivial morphism btw curves /  $\mathbb{C}$

Def  $\deg f = [K(X) : K(Y)]$

We will define  $f^*: \text{Div}(Y) \rightarrow \text{Div}(X)$  as follows

Let  $q \in Y$ ,  $t \in \mathcal{O}_{Y,q}$ : uniformizer  $\tau_q(t) = m_q$   
and  $v_q(t) = 1$ .  $\leftarrow$  local defining equation of  $q$ .

Def  $f^* q := \sum_{f(p)=q} v_p(t) p$

$\rightarrow$  linearly extend to  $\text{Div}(Y) \xrightarrow{f^*} \text{Div}(X)$

Prop  $\deg(f^*D) = \deg f \cdot \deg D$ .

(Pf) [Hartshorne, II. Prop 6.9]

□

$\Rightarrow$  Outside  $q_1, \dots, q_r \in Y$ ,  $f$  is  $\deg f : 1$  map.

Cor. If  $D \in \text{Div}(X)$  is a principal divisor, then

$$\deg(D) = 0$$

Hence  $\deg : \text{Div}(X) \rightarrow \mathbb{Z}$ .

pf)  $D = (f)$ ,  $f \in K(X)$ , It induces

$$F : X \rightarrow \mathbb{P}^1$$

s.t.  $F^*(0 - \infty) = (f)$ .

$$\deg(f) = \deg F^*(0 - \infty) = \deg F \cdot \deg(0 - \infty) = 0.$$

□

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Let's do one example.

Prop Let  $g \geq 1$ ,  $p, q \in X$ . Then

$$p \sim q \quad \text{iff} \quad p = q.$$

Prf) Proof by contradiction. Suppose  $\exists p \neq q$  st  $p \sim q$ . i.e.  $\mathcal{O}(p) \cong \mathcal{O}(q)$ . Then

$$\langle S_p, S_q \rangle \subseteq H^0(\mathcal{O}(p)).$$

where  $S_p \neq S_q$ : linearly independent.

$$f: X \rightarrow \mathbb{P}^1 \quad [S_p(z) : S_q(z)].$$

$$\text{Then } \deg f = 1 \Rightarrow K(X) = \mathbb{C}(t)$$

$$\Rightarrow X \cong \mathbb{P}^1 \quad \curvearrowright$$

## §2. Abel - Jacobi theorem.

(2.1) Picard group

\*  $X = \text{curve} / \mathbb{C}$

Let

$$\begin{aligned} \text{Pic}^0(X) &:= \ker(\text{Pic}(X) \xrightarrow{\text{deg}} \mathbb{Z}) \\ &= \ker(H^1(\mathcal{O}_X^*) \xrightarrow{c_1} H^2(X, \mathbb{Z})). \end{aligned}$$

From the exponential sequence, we have

$$H^0(\mathcal{O}_X^*) \rightarrow H^1(X, \mathbb{Z}) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow H^1(\mathcal{O}_X^*) \xrightarrow{c_1} H^2(X, \mathbb{Z})$$

we have  $\text{Pic}^0(X) \cong H^1(X, \mathcal{O}_X) / H^1(X, \mathbb{Z})$ .

— # —

The Abel - Jacobi theorem says that

$$K(X)^\times \xrightarrow{d\text{iv}} \text{Div}_0(X) \xrightarrow{\text{AJ}} \text{Jac}(X)$$

is exact. This is equivalent to say that:

$$\begin{array}{ccc}
 (i) & \text{Div}_0(X) & \longrightarrow \text{Div}_0(X) / \sim = \text{Pic}^0(X) \\
 & \downarrow \text{AJ} & \swarrow \overline{\text{AJ}} \\
 & \text{Jac}(X) & 
 \end{array}$$

(ii)  $\overline{\text{AJ}}$  is injective.

Later we will see that  $\overline{\text{AJ}}$  is surjective too.

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## (2.2) Picard group of elliptic curves

Let  $(E, p_0)$  be a pointed elliptic curve.

Let  $u: E \rightarrow \text{Pic}^0(E)$ ,  $p \mapsto \mathcal{O}(p - p_0)$

Prop  $u: (E, p_0) \rightarrow \text{Pic}^0(E)$  is an isomorphism

Pf) • Injectivity ✓

• Surjectivity: Let  $L \in \text{Pic}^0(E)$ .  $L(p_0) = L \otimes \mathcal{O}(p_0)$ .

$$h^0(L(p_0)) - h^1(L(p_0)) = \deg L(p_0) + 1 - g = 1.$$

By the Serre duality,

$$h^1(L(p_0)) = h^0(L^\vee(-p_0)) \stackrel{\deg < 0}{=} 0.$$

Choose a section  $s \in H^0(L(p_0))$ . Then

$$s^{-1}(0) = p \quad \text{for some } p \in E$$

and  $L(p_0) \cong \mathcal{O}(p)$ . So  $L \cong \mathcal{O}(p - p_0)$ . ✓

To finish the proof, you need to construct the inverse morphism. (or argue directly). □

## (2.3) AJ for elliptic curves.

In the next lecture, we will see that

$$\text{Im}(\text{div}) \subseteq \text{Ker}(AJ)$$

is simple. We will prove ( $\supseteq$ ) when  $X = E$ .

If  $AJ(D) = 0$ ,  $\exists f$ : meromorphic function, st  
 $(f) = D$ .

### □ Jacobi $\theta$ -function.

$$\text{Let } \Lambda = \mathbb{Z} \oplus \mathbb{Z}\tau, \tau \in \mathbb{H}, E = \mathbb{C}/\Lambda.$$

- If  $f: \mathbb{C} \rightarrow \mathbb{C}$  holo. fcn,  $\Lambda$ -periodic,  $f \equiv \text{const}$ .
- But we can weaken the condition slightly to get an interesting fcn.

$$\text{Def } \theta(z; \tau) = \sum_{n \in \mathbb{Z}} e^{\pi i (n^2 \tau + 2nz)} \quad z \in \mathbb{C}$$

"Jacobi  $\theta$  function"

Check  $\theta(z; \tau)$  converges absolutely & uniformly on any compact subset  $C \subset \mathbb{C}$ .

$\theta(z; \tau)$  satisfies the elliptic transformation rules:

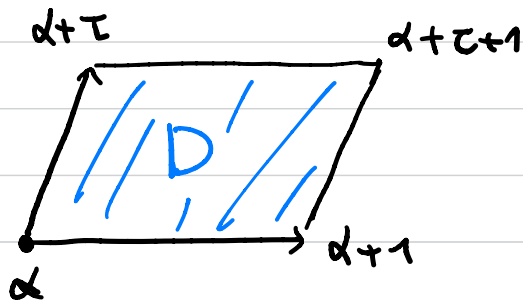
- $\theta(z+1; \tau) = \theta(z; \tau)$

- $$\begin{aligned}\theta(z+\tau; \tau) &= \sum e^{\pi i (n^2 \tau + 2n(z+\tau))} \\ &= \sum e^{\pi i (n+1)^2 \tau + 2(n+1)z - \tau - 2z} \\ &= \underbrace{e^{-\pi i (\tau + 2z)}}_{\neq 0} \theta(z; \tau).\end{aligned}$$



We can study the zero locus of  $\theta(z; \tau)$  via the argument principle, + elliptic transform law.

Let  $D \subset \mathbb{C}$  : fundamental domain.



no zeros lie in  $\partial D$ .

CHECK :

$$\begin{aligned} (\# \text{ of zeros in } D) &= \frac{1}{2\pi i} \int_{\partial D} \frac{\theta'(z; \tau)}{\theta(z; \tau)} dz \\ &= 1 \end{aligned}$$

$$\begin{aligned} \text{zero locus of } \theta &= \frac{1}{2\pi i} \int_{\partial D} \frac{z \theta'(z; \tau)}{\theta(z; \tau)} dz \\ &= \frac{1+\tau}{2} + \alpha. \end{aligned}$$

□ Proof of AJ theorem.

Let  $p_1, \dots, p_r, q_1, \dots, q_r \in \mathbb{C}$  st

$$\sum p_j - \sum q_j = m + n\tau \in \Lambda.$$

It is equivalent to say that corresponding divisor satisfies

$$AJ(\sum p_j - \sum q_j) = 0$$

Let

$$\varphi(z) = \frac{\prod_{j=1}^r \theta(z - p_j - \frac{1+\tau}{2})}{\prod_{j=1}^r \theta(z - q_j - \frac{1+\tau}{2})}$$

Lemma (i)  $\varphi(z+1) = \varphi(z)$

$$(ii) \varphi(z+\tau) = e^{2\pi i(\sum p_j - \sum q_j)} \varphi(z) \\ = e^{2\pi i n \tau} \varphi(z). \quad \square$$

Proof of  $\ker(AJ) \subseteq \text{Im}(d\tau)$ . :

Let  $\tilde{\varphi}(z) = e^{-2\pi i n z} \varphi(z)$ . Then

- $\tilde{\varphi} \in K(E)$

- $\text{div}(\tilde{\varphi}) = \sum p_j - \sum q_j$ . □